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| To: | Commissioner for Patents | From: | Laura L. Shires |
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| Fax: | (571) 273-8300 | Pages: | 3 (including cover page) |
| Phone: | | Date: | August 9, 2005 |

Comments: OFFICIAL FILING

Application No.: 09/095,323 Filing Date: June 10, 1998

Title: METHOD AND APPARATUS FOR TREATING SMOOTH MUSCLES IN THE

WALLS OF BODY CONDUITS
Inventor(s): Michael D. LAUFER

Examiner: D. Shay **Group Art Unit:** 3739

Attorney Docket No.: ASTXNA00100

Papers attached:

- 1. Statement Under 37 CFR 3.73(b) 1 page
- 2. Copy of Power of Attorney to Prosecute Applications Before the USPTO (Form PTO/SB/80) 1 page

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Date: Acio 9, 2005

Signature:

(Laura L. Shires)

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PTC/SB/80 (04-05)
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| Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used): | | | | | | | | |
| | Name | Registration . Number | 1 | lame | Registration Number | | | |
| - | | | | | | | | |
| - | | | | | | | | |
| l | | | | | | | | |
| - | | | | *************************************** | | | | |
| as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b). | | | | | | | | |
| Please cha | Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to: | | | | | | | |
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| L | | | <u> </u> | | | | | |
| Assignee N | lame and Address: Asthmatx, Inc. | | | | <u></u> | | | |
| 1340 Space Park Way | | | | | | | | |
| Mountain View, CA 94043 | | | | | | | | |
| A copy of | this form, together with a statement und ich application in which this form is used | ler 37 CFR 3.73(|) (Form PTO/SB/ | 6 or equivale | nt) is required to be | | | |
| the pract | tioners appointed in this form if the appointed in this form if the application in which this Pov | ointed practition | er is authorized to | act on behalf | of the assignee, | | | |
| most | | | | | | | | |
| SIGNATURE of Assignee of Record The individual whose signature and title is supplied below is authorized to act on behalf of the assignee | | | | | | | | |
| Signature | 13/2 | | | Date 7/25 | 145 | | | |
| Name | Gary Kaplan Telephone (650) 810-1105 | | | | 50) 810-1105 | | | |
| Title | Vice President of Operations and Quality | | | | | | | |

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any commercis on the amount of time you require to complete this form end/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commisce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| STATEMENT UNDER 37 CFR 3.73(b) | | | | | | | |
|--|-------------|--|--|--|--|--|--|
| Applicant/Patent Owner: Michael D. LAUFER | | | | | | | |
| Application No./Patent No.: 09/095,323 Filed/lssue Date: June 10, 1998 | | | | | | | |
| Entitled: METHOD AND APPARATUS FOR TREATING SMOOTH MUSCLES IN THE WALLS OF BODY CONDUITS | | | | | | | |
| Asthmatx: Inc. , a <u>corporation</u> (Name of Assignee). (Type of Assignee, e.g., corporation, partnership, university, government agence | ty; etc.) | | | | | | |
| states that it is: 1. the assignee of the entire right, title, and interest; or | | | | | | | |
| an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is% | | | | | | | |
| in the patent application/patent identified above by virtue of either: | | | | | | | |
| A assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. | | | | | | | |
| B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: | | | | | | | |
| From: Michael D. LAUFER To: Broncus Technologies, Inc. The document was recorded in the United States Patent and Trademark Office at | | | | | | | |
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| From: Broncus Technologies, Inc. To: Asthmatx, Inc. The document was recorded in the United States Patent and Trademark Office at Real 014990 , Frame 0014 , or for which a copy thereof is attached. | · | | | | | | |
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| Additional documents in the chain of title are listed on a supplemental sheet. | | | | | | | |
| Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] | | | | | | | |
| The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. | | | | | | | |
| August 9, 2005 | | | | | | | |
| Signature Date | | | | | | | |
| Laura T. Shires (Registration No. 52,222) (650) 242-4211 | | | | | | | |
| Printed or Typed Name Telephone Number | | | | | | | |
| Agent of Record Title | | | | | | | |

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Docket # ASTXNA00100